## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of
Ronald W. Szeto et al.
Application No.: 10/666 455
Filed: September 23, 2003

For: SYSTEM AND METHOD FOR PROTECTIVE CPU AGAINST REMOTE ACCESS ATTACKS Group Art Unit: 2131

Examiner: Unknown

## INFORMATION DISCLOSURE STATEMENT

121 Spear Street, Suite 290 San Francisco, CA 94105 (415) 512-1312

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on Jan. 6. 2004.

Dated: 01/6 /2004 By: Jean gra X

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant(s) submit(s) herewith patents, publications or other information [attached hereto and listed on the attached Form PTO-1449 (modified)] of which they are aware, which they believe(s) may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

This Information Disclosure Statement:

- (a) accompanies the new patent application submitted herewith. 37 CFR § 1.97(a).
- (b) Sis filed within three months after the filing date of the application or within three months after the date of entry of the national stage of a PCT application as set forth in 37 CFR § 1.491.
- (c) ☐ as far as is known to the undersigned, is filed before the mailing date of a first Office Action on the merits, or before a first office action after filing a Request for Continued Examination under 81.114.
- (d) is filed after the first office action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is

Atty Docket No.: FDRY-300

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-2known to the undersigned, prior to the mailing date of either a final rejection or a notice of allowance, whichever occurs first, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below. (e) is filed after the mailing date of either a final rejection or a notice of allowance. whichever occurred first, and the Issue Fee has not been paid, and is accompanied by the fee (\$130) set forth in 37 CFR § 1.17(i)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement. [If either of boxes (d) or (e) is checked above, the following "certification" under 37 CFR § 1.97(e) may need to be completed.] The undersigned certifies that: (f) Each item of information contained in the information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. (g) No item of information contained in this information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this information disclosure statement. A list of the patent(s) or publication(s) is set forth on the attached Form PTO-1449 (Modified). A copy of the items on PTO-1449 (Modified) is supplied herewith, except as noted below. Those patent(s) or publication(s) which are marked with an asterisk (\*) in the attached form PTO-1449 (Modified) are not supplied because they are (a) either U.S. Patents and this an application filed after June 30, 2003, or (b) were previously cited by or submitted to the Office in a prior application no. , filed and relied upon in this application for an earlier filing date under 35 U.S.C. § 120. A concise explanation of relevance of the items listed on form PTO-1449 (Modified) is:

(k) not given Atty Docket No.: FDRY-300 Receipt date: 01/08/2004 10/668,455 10668455 - GAU: 2432

10/000	-3-
	(I) given for each listed item
	(m) given for only non-English language listed item(s) [Required]
	(n) is in the form of an English language copy of a Search Report from a foreign
	patent office, issued in a counterpart application, which refers to the relevant
	portions of the references [copy attached].
	The Examiner is reminded that a "concise explanation of the relevance" of the submitted
items '	may be nothing more than identification of the particular figure or paragraph of the patent
or pub	lication which has some relation to the claimed invention," MPEP § 609.
	While the information and references disclosed in this Information Disclosure Statement
may b	e "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that
any pa	tent, publication or other information referred to therein is "prior art" for this invention
unless	specifically designated as such.
	In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement
shall n	ot be construed to mean that a search has been made or that no other material information
as defi	ined in 37 CFR § 1.56(a) exists. It is submitted that the Information Disclosure Statement
is in c	ompliance with 37 CFR § 1.98 and MPEP § 609 and the Examiner is respectfully
reques	sted to consider the listed references.
$\boxtimes$	The Commissioner is hereby authorized to charge our Deposit Account No. 50-1703,
	under Order No. FDRY-300, for any fees required in connection with the filing of this
	Information Disclosure Statement. A duplicate copy of this Notice is enclosed for this
	purpose. In particular, in the event that an Office Action has crossed in the mail with
	this Information Disclosure Statement, the Commissioner is authorized to charge the
	above-named deposit account for any fees required pursuant to CFR §§ 1.17(p) or
	1.17(i)(1).
	Respectfully submitted,
	STALLMAN & POLLOCK LLP

Attorneys for Applicant(s)

Atty Docket No.: FDRY-300

January 5

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				Docket Number (Optional) Application Number FDRY-300 10/668,455 Applicant(s) Ronald W. Szeto et al.				
HIER E	100 = 0				Filing Date September 23, 2003		Group Art Unit Unknown	
A C B	U.S. PATENT DOCUMENTS							
PEXAMINA PARTIAL PROPERTY OF SECOND	Pyr	DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS	FILING DATE
	*AA	5,892,903	04/06/1999	Klaus		395	187.01	09/12/1996
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## FOREIGN PATENT DOCUMENTS

	DOCUMENT					TRANSLATION	
 REF	NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

http://www.cicco.com/en/LIS/tech/tb803/technologies\_tech\_note001860080007828\_chtml (PDF & web\_nages)

tocid3 (PDF & web pages), entitled "CISCO CATALYST 1900 SERIES SWITCHES," printed 7/29/2003, 13 pages in

1	/AD	intp://www.cisco.com/et//os/tech/tkoo/tkoo/technologies_tech_note/9160a0000004/626.shtill (r Dr & web pages),
		entitled "Cisco - Cable Source-Verify and IP Address Security," printed July 23, 2003, 25 pages in length.
	AE	http://www.sans.org/rr/threats/spoofed.php (PFD and web pages), entitled "Spoofed IP Address Distributed Denial of
		Service Attacks: Defense-in-Depth, printed July 23, 2003, 7 pages in length.
	AF	http://www.cert.org/incident_notes/IN-2000-04.html, entitled "CERT® Incident Note IN-2000-04 (Denial of Service
		Attacks using Nameservers), printed July 23, 2003, 3 pages in length.
	AG	http://www.anml.iu.edu/PDF/Automatic_Spoof_Detector.pdf, entitled "Automatic Spoof Detector (aka Spoofwatch)," dated
		January 28, 2002, printed July 23, 2003, 2 pages in length.
	AH	http://www.linuxgazette.com/issue63/sharma.html, entitled "IP Spoofing," printed July 23, 2003, 3 pages in length.
	AI	http://www.legions.org/kv/kv7.txt, entitled "Keen Veracity Legions of the Underground Issue # [7]," printed June 24,
		2003, pp. 1-41.
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		Exploitation)," June 1996, printed May 18, 2003, pp. 1-9.
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	AL	http://www.cisco.com/en/US/products/sw/iosswrel/ps1839/products_feature_guide09186a00801543c8.html#1027177 (PDF						
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		"TECH BRIEF ExtremeWare 6.2," printed 7/29/2003, 8 pages in length.						
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		entitled: Unified Access Architecture for Wired and Wireless Networks," printed 7/29/2003, 10 pages in length.						
Examiner /Virginia Ho/ Date Considered 03/02/2009								
		/ virginia i io/		00.02.2000				
Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if								

not in conformance and not considered. Include copy of this form with next communication to applicant.

Modified Form PTO-A820 (also form PTO-1449)

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